

<b>MEETING:</b>	<b>AUDIT AND GOVERNANCE COMMITTEE</b>
<b>DATE:</b>	<b>17 JANUARY 2011</b>
<b>TITLE OF REPORT:</b>	<b>RISK RECOMMENDATIONS IMPLEMENTATION – PROGRESS REPORT</b>
<b>PORTFOLIO AREA:</b>	<b>RESOURCES</b>

**CLASSIFICATION:** Open

### **Wards Affected**

County-wide

### **Purpose**

To inform the Committee of progress on implementing risk recommendations.

### **Key Decision**

This is not a Key Decision.

### **Recommendation(s)**

**THAT** the progress to date be noted.

### **Key Points Summary**

- The report notes progress on embedding risk management

### **Alternative Options**

- 1 None.

### **Reasons for Recommendations**

- 2 To note improvements in risk management effectiveness.

### **Introduction and Background**

- 3 The Council is obliged to have (and be able to demonstrate) that risk and risk management lies at the heart of its decision making processes.
- 4 The Deputy Chief Executive commissioned Gilbert George (risk consultant) to undertake a review of the Council and NHSH (PCT) existing risk management methodology. The review also looked at links between risk management, governance, assurance and reporting and made recommendations for improved effectiveness. Appendix A is an update on progress

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Further information on the subject of this report is available from  
David Powell, Director of Resources on (01432) 393518)

made to date.

- 5 In order to raise further the profile and importance of risk management a brochure entitled 'Raising Risk Management Awareness' has been produced and circulated to all staff via team briefings (see *Appendix B*).

## **Key Considerations**

- 6 The attached implementation template contains updates on 23 recommendations. The status of the recommendations falls into one of three categories indicating whether they have been implemented or are work in progress or no action has been taken.
- 7 The Raising Risk Management brochure increases awareness by providing a broad awareness of risk management's link to day to day activity. It contains a summary of the partnership approach to risk management. The hierarchy of risk registers and risk escalation criteria is highlighted. It reflects the work to align PCT and council risk management processes.

## **Community Impact**

- 8 None.

## **Financial Implications**

- 9 None identified in respect of process as nominations to posts made within existing resources. Potential financial costs incurred if external trainers used.

## **Legal Implications**

- 10 Describes risk and assurance arrangements to reduce risk of liability and claims

## **Risk Management**

- 11 Describes risk and assurance arrangements

## **Consultees**

- 12 Councillor Bramer, Deputy Chief Executive, Directors, Senior Managers, Corporate Risk Manager and Directorate Risk leads.

## **Appendices**

- A - Risk Management Recommendations Implementation Template
- B - Raising Risk Management Awareness

## **Background Papers**

None identified.